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## Background Asia Group

### Financial Industry Investigations and Litigation Support – Hong Kong

Investigations involving the financial industry can be complex and raise serious issues for licensed firms in Hong Kong. When senior management suspects or learns of actual malfeasance they need to consider the possible effect on their corporate and personal licenses, reputation and clients as well as traditional questions about whether to involve law enforcement, the civil process or suspend or dismiss those involved.

In many cases the actual dollar value of the loss and any subsequent recovery actions are not always the most important issues for financial institutions to address. The recent asset management scandals in the United States have demonstrated clearly that integrity and management failures in relation to malfeasance issues can severely reduce assets under management as clients flee a scandal rocked firm.

At Background Asia Group we have assembled a multi-disciplined team to assist you and your legal counsel (whether in house or external) with these challenging issues.

Our team consists of experienced investigators, criminal lawyers, regulatory experts and accountants who have worked extensively on financial industry compliance and regulatory issues, fraud investigation, forensic accounting, electronic evidence recovery, criminal inquiries, civil disputes and commercial intelligence gathering. Our team is able to advise you on the critical decisions that need to be made throughout these investigations and the various options you have to protect your firm's wider interests. We work closely with your external counsel to support the advice they give and provide litigation support services where required. We can quickly assemble the resources that are not available in house to comprehensively address these problems.

Working with your legal counsel we are able to support the collection of evidence and other information necessary to present to regulators, the courts or law enforcement.

For more information on how we can assist contact Nick Lemmon in Hong Kong on +852 9128 5489, Philippa Allen in Singapore on +65 6533 8834 or Alex Duperouzel in Singapore on +65 6535 8435.

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