

Hedge Fund Due Diligence and Manager Background Checks

The team at Background Asia have been conducting discreet intelligence gathering and background checks for the financial industry for over a decade.

The recent surge in interest in alternative investments has resulted in considerable new interest from our clients in relation to the background and reputation of hedge funds and their managers.

We provide a customised due diligence for fund of funds and other potential investors interested in either funds trading Asian instruments, managers based in Asia or managers who have worked in Asia.

Our research covers a wide range of public and partially public sources including regulatory databases, English and local language media, company searches and industry journals as well as selected non public industry sourcing for reputational information. We can also confirm educational and former employment details¹. In Hong Kong, Singapore and Australia it is possible to obtain a certificate of no criminal conviction if the subject of the inquiry requests it. We can process these applications if a client has obtained sign off from the individual they are inquiring into. All research is conducted in accordance with local laws.

The countries that we frequently research for our clients are Hong Kong, Singapore, Japan, South Korea, China, India, Malaysia, Indonesia, Australia, Thailand, the Philippines and Pakistan.

In addition to financial industry and hedge fund specific concerns, we also address anti money laundering and terrorist financing issues as well as the potential for organised crime involvement or associations.

Lastly our team is familiar with the licensing regimes of many of the regulatory regimes in the Asian region and we typically provide our clients with a regulatory risk analysis for funds that we are researching. Let us know if you would like a free copy of our pre engagement due diligence checklist.

For funds that are already invested we provide an annual health check and compliance review where the manager operates from either Hong Kong, Singapore or Sydney. These assignments involve a formal visit to the fund and a report from that visit is then issued. We have our own compliance checklists or we can work from yours.

Hong Kong	Singapore	Sydney
Nick Lemmon Director Background Asia Ltd 25/F Bank of China Tower 1 Garden Road Hong Kong	Alex Duperouzel Managing Director Background Asia (S) Pte Ltd 24-02, Six Battery Road Singapore 049909	Michelle Knight Director Background Asia Group P/L Level 1, 275 George St Sydney, New South Wales Australia
P: +852 2251 1828	P: +65 6535 8435	P: +61 2 8235 3949

www.backgroundasia.com
www.complianceasia.com

¹ Note that some educational institutions and former employers require signed waivers from the person concerned before they will release information. Some do not.